

## Logical Consequences of Formulae

- Recall:  $F$  is a logical consequence of  $P$  (i.e.  $P \models F$ ) iff Every model of  $P$  is also a model of  $F$ .
- Since there are (in general) infinitely many possible interpretations, how can we check if  $F$  is a logical consequence of  $P$ ?
- Solution: choose one “canonical” model  $\mathfrak{S}$  such that

$$\mathfrak{S} \models P \text{ and } \mathfrak{S} \models F \Rightarrow P \models F$$

## Definite Clauses

- A formula of the form  $p(t_1, t_2, \dots, t_n)$ , where  $p/n$  is an  $n$ -ary predicate symbol and  $t_i$  are all terms is said to be **atomic**.
- If  $A$  is an atomic formula then
  - $A$  is said to be a **positive literal**
  - $\neg A$  is said to be a **negative literal**
- A formula of the form  $\forall(L_1 \vee L_2 \vee \dots \vee L_n)$  where each  $L_i$  is a literal (negative or positive) is called a **clause**.
- A clause  $\forall(L_1 \vee L_2 \vee \dots \vee L_n)$  where *exactly one* literal is positive is called a **definite clause**.  
A definite clause is usually written as:
  - $\forall(A_0 \vee \neg A_1 \vee \dots \vee \neg A_n)$
  - ... or equivalently as  $A_0 \leftarrow A_1, A_2, \dots, A_n$ .
- A **definite program** is a set of definite clauses.

## Herbrand Universe

- Given an alphabet  $\mathcal{A}$ , the set of all *ground terms* constructed from the constant and function symbols of  $\mathcal{A}$  is called the **Herbrand Universe** of  $\mathcal{A}$  (denoted by  $U_{\mathcal{A}}$ ).
- Consider the program:
 

```
p(zero).
p(s(s(X))) ← p(X).
```

The Herbrand Universe of the program's alphabet is  $\{\text{zero}, s(\text{zero}), s(s(\text{zero})), \dots\}$ .

## Herbrand Universe (contd.)

- Consider the “relations” program:
 

```
parent(pam, bob).      parent(bob, ann).
parent(tom, bob).     parent(bob, pat).
parent(tom, liz).     parent(pat, jim).
grandparent(X,Y) :- parent(X,Z), parent(Z,Y).
```

The Herbrand Universe of the program's alphabet is  $\{\text{pam}, \text{bob}, \text{tom}, \text{liz}, \text{ann}, \text{pat}, \text{jim}\}$ .

## Herbrand Base

- Given an alphabet  $\mathcal{A}$ , the set of all *ground atomic formulas* over  $\mathcal{A}$  is called the **Herbrand Base** of  $\mathcal{A}$  (denoted by  $B_{\mathcal{A}}$ ).
- Consider the program:
 
$$p(\text{zero}).$$

$$p(s(s(X))) \leftarrow p(X).$$

The Herbrand Base of the program's alphabet is  $\{p(\text{zero}), p(s(\text{zero})), p(s(s(\text{zero}))), \dots\}$ .

## Herbrand Base (contd.)

- Consider the “relations” program:
 
$$\begin{array}{ll} \text{parent}(\text{pam}, \text{bob}). & \text{parent}(\text{bob}, \text{ann}). \\ \text{parent}(\text{tom}, \text{bob}). & \text{parent}(\text{bob}, \text{pat}). \\ \text{parent}(\text{tom}, \text{liz}). & \text{parent}(\text{pat}, \text{jim}). \\ \text{grandparent}(X, Y) :- & \text{parent}(X, Z), \text{parent}(Z, Y). \end{array}$$

The Herbrand Base of the program's alphabet is  $\{\text{parent}(\text{pam}, \text{pam}), \text{parent}(\text{pam}, \text{bob}), \text{parent}(\text{pam}, \text{tom}), \dots, \text{parent}(\text{bob}, \text{pam}), \dots, \text{grandparent}(\text{pam}, \text{pam}), \dots, \text{grandparent}(\text{bob}, \text{pam}), \dots\}$

## Herbrand Interpretations and Models

- A *Herbrand Interpretation* of a program  $P$  is  $\mathfrak{S}$  such that
  - $|\mathfrak{S}| = U_P$
  - For every constant  $c$ :  $c_{\mathfrak{S}} = c$
  - For every function symbol  $f/n$ :  $f_{\mathfrak{S}}(x_1, \dots, x_n) = f(x_1, \dots, x_n)$
  - For every predicate symbol  $p/n$ :  $p_{\mathfrak{S}} \subseteq (U_P)^n$   
(i.e. some subset of  $n$ -tuples of ground terms)
- A *Herbrand Model* of a program  $P$  is a Herbrand interpretation that is a model of  $P$ .

## Herbrand Models

- All Herbrand interpretations of a program give the same “meaning” to the constant and function symbols.
- Different Herbrand interpretations differ only in the “meaning” they give to the *predicate* symbols.
- We often write a Herbrand model simply by listing the subset of the Herbrand base that is true in the model.

Examples:

- Consider our first example program.  
 $\{p(\text{zero}), p(s^2(\text{zero})), p(s^4(\text{zero})), \dots\}$  represents the Herbrand model that treats  $p_{\mathfrak{S}} = \{\text{zero}, s^2(\text{zero}), s^4(\text{zero}), \dots\}$  as the meaning of  $p$ .

## Properties of Herbrand Models

- ① If  $M$  is a family of Herbrand Models of a definite program  $P$ , then  $\bigcap M$  is also a Herbrand Model of  $P$ .
- ② For every definite program  $P$  there is a unique *least* model  $M_P$  such that
  - $M_P$  is a Herbrand Model of  $P$  and,
  - for every Herbrand Model  $M$ ,  $M_P \subseteq M$ .
- ③ For any definite program, if every Herbrand Model of  $P$  is also a Herbrand Model of  $F$ , then  $P \models F$ .
- ④  $M_P =$  the set of all ground logical consequences of  $P$ .

## Sufficiency of Herbrand Models

Let  $P$  be a definite program. Then if  $\mathfrak{S}'$  is a model of  $P$  then  $\mathfrak{S} = \{A \in B_P \mid \mathfrak{S}' \models A\}$  is a Herbrand model of  $P$ .

Proof (by contradiction):

- $\mathfrak{S}$  is a Herbrand interpretation.
- Assume that  $\mathfrak{S}'$  is a model but  $\mathfrak{S}$  is not a model.
- Then there is some ground instance of a clause in  $P$ :  $A_0:- A_1, \dots, A_n$  which is not true in  $\mathfrak{S}$
- i.e.,  $\mathfrak{S} \models A_1 \dots \mathfrak{S} \models A_n$  but  $\mathfrak{S} \not\models A_0$ .
- By definition of  $\mathfrak{S}$  then,  $\mathfrak{S}' \models A_1 \dots \mathfrak{S}' \models A_n$  but  $\mathfrak{S}' \not\models A_0$
- Thus  $\mathfrak{S}'$  is not a model, which contradicts our earlier assumption.

## Sufficiency of Herbrand Models (contd.)

Let  $P$  be a definite program. Then if  $\mathfrak{S}'$  is a model of  $P$  then  $\mathfrak{S} = \{A \in B_P \mid \mathfrak{S}' \models A\}$  is a Herbrand model of  $P$ .

- This holds only for definite programs.
- Consider  $P = \{\neg p(a), \exists X.p(X)\}$ .
  - There are two Herbrand interpretations:  $\mathfrak{S}_1 = \{p(a)\}$  and  $\mathfrak{S}_2 = \{\}$ .
  - The first is not a model of  $P$  since  $\mathfrak{S}_1 \not\models \neg p(a)$ .
  - The second is not a model of  $P$  since  $\mathfrak{S}_2 \not\models \exists X.p(X)$
  - But there is a non-Herbrand model  $\mathfrak{S}$ :
    - $|\mathfrak{S}| = \mathbb{N}$ , the set of natural numbers
    - $a_{\mathfrak{S}} = 0$
    - $p_{\mathfrak{S}} = \text{"is odd"}$

## Properties of Herbrand Models

- If  $M_1$  and  $M_2$  are Herbrand models of  $P$ , then  $M = M_1 \cap M_2$  is a model of  $P$ .
  - Assume  $M$  is not a model. Then there is some clause  $A_0:- A_1, \dots, A_n$  such that  $M \models A_1 \dots M \models A_n$  but  $M \not\models A_0$ .
  - Which means  $A_0 \notin M_1$  or  $A_0 \notin M_2$ .
  - But  $A_1, \dots, A_n \in M_1$  as well as  $M_2$ .
  - Hence one of  $M_1$  or  $M_2$  is not a model.
- There is a unique least Herbrand model.
  - Let  $M_1$  and  $M_2$  are two incomparable minimal Herbrand models,
  - $M = M_1 \cap M_2$  is also a Herbrand model, and
  - $M \subseteq M_1$  and  $M \subseteq M_2$ .
  - Thus  $M_1$  and  $M_2$  are not minimal.

## Least Herbrand Model

The least Herbrand model  $M_P$  of a definite program  $P$  is the set of all ground logical consequences of the program.

- $M_P = \{A \in B_P \mid P \models A\}$
- First,  $M_P \supseteq \{A \in B_P \mid P \models A\}$ :
  - By definition of logical consequence,  $P \models A$  means that  $A$  has to be in every model of  $P$  and hence also in the least Herbrand model.
- Second,  $M_P \subseteq \{A \in B_P \mid P \models A\}$ :
  - If  $M_P \models A$  then  $A$  is in every Herbrand model of  $P$ .
  - But assume there is some model  $\mathfrak{S}' \models \neg A$ .
  - By sufficiency of Herbrand models, there is some Herbrand model  $\mathfrak{S}$  such that  $\mathfrak{S} \models \neg A$ .
  - Hence  $A$  is not in some Herbrand model, and hence is not in  $M_P$ .

## Finding the Least Herbrand Model

Immediate consequence operator:

- Given  $I \subseteq B_P$ , construct  $I'$  such that
 
$$I' = \{A_0 \in B_P \mid A_0 \leftarrow A_1, \dots, A_n \text{ is a ground instance of a clause in } P \text{ and } A_1, \dots, A_n \in I\}$$
- $I'$  is said to be the immediate consequence of  $I$ .
- Written as  $I' = T_P(I)$   
 $T_P$  is called the *immediate consequence operator*.
- Consider the sequence:  $\emptyset, T_P(\emptyset), T_P^2(\emptyset), \dots, T_P^i(\emptyset), \dots$
- $M_P \supseteq T_P^i(\emptyset)$  for all  $i$ .
- Let  $T_P \uparrow \omega = \bigcup_{i=0}^{\infty} T_P^i(\emptyset)$ .  
 Then  $M_P \subseteq T_P \uparrow \omega$

## Computing Least Herbrand Models: An Example

parent(pam, bob).	$M_1$	$\emptyset$
parent(tom, bob).	$M_2 = T_P(M_1) =$	{parent(pam,bob), parent(tom,bob), parent(tom,liz), parent(bob,ann), parent(bob,pat), parent(pat,jim)}
parent(tom, liz).	$M_3 = T_P(M_2) =$	{anc(pam,bob), anc(tom,bob), anc(tom,liz), anc(bob,ann), anc(bob,pat), anc(pat,jim)}
parent(bob, ann).	$M_4 = T_P(M_3) =$	{anc(pam,ann), anc(pam,pat), anc(tom,ann), anc(tom,pat), anc(bob,jim)} $\cup M_3$
parent(bob, pat).	$M_5 = T_P(M_4) =$	{anc(pam,jim), {anc(tom,jim)}
parent(pat, jim).	$M_6 = T_P(M_5) =$	$M_5$

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anc(X,Y) :-
  parent(X,Y).
anc(X,Y) :-
  parent(X,Z),
  anc(Z,Y).

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## Computing $M_P$ : Practical Considerations

- Computing the least Herbrand model,  $M_P$ , as the *least fixed point* of  $T_P$ :
  - terminates for Datalog programs (programs w/o function symbols)
  - may not terminate in general
- For programs with function symbols, computing logical consequence by first computing  $M_P$  is impractical.
- Even for Datalog programs, computing least fixed point directly using the  $T_P$  operator is wasteful (known as *Naive* evaluation).
- Note that  $T_P^i(\emptyset) \subseteq T_P^{i+1}(\emptyset)$ .
- We can calculate  $\Delta T_P^{i+1}(\emptyset) = T_P^{i+1}(\emptyset) - T_P^i(\emptyset)$  [The difference between the sets computed in two successive iterations]
- This strategy is known as *semi-naive* evaluation.