Chapter 11 (Part 2) Gentzen Sequent Calculus GL

- **The proof system GL** for the classical propositional logic is a version of the original Gentzen (1934) systems **LK**.
- A constructive proof of the completeness theorem for the system **GL** is very similar to the proof of the completeness theorem for the system **RS**.
- **Expressions** of the system like in the original Gentzen system **LK** are Gentzen *sequents*.
- Hence we use also a name Gentzen sequent calculus.

Language of GL: $\mathcal{L} = \mathcal{L}_{\{\cup, \cap, \Rightarrow, \neg, \}}$.

We add a new symbol to the alphabet: \longrightarrow . It is called a Gentzen arrow.

- **The sequents** are built out of finite sequences (empty included) of formulas, i.e. elements of \mathcal{F}^* , and the additional sign \longrightarrow .
- We denote, as in the **RS** system, the finite sequences of formulas by Greek capital letters Γ, Δ, Σ , with indices if necessary.

Sequent definition: a sequent is the expression

$$\Gamma \longrightarrow \Delta$$
,

where $\Gamma, \Delta \in \mathcal{F}^*$.

Meaning of sequents Intuitively, we interpret a sequent

 $A_1, ..., A_n \longrightarrow B_1, ..., B_m,$

where $n, m \geq 1$ as a formula

$$(A_1 \cap \ldots \cap A_n) \Rightarrow (B_1 \cup \ldots \cup B_m).$$

- **The sequent:** $A_1, ..., A_n \longrightarrow$ (where $n \ge 1$) means that $A_1 \cap ... \cap A_n$ yields a contradiction.
- The sequent $\longrightarrow B_1, ..., B_m$ (where $m \ge 1$) means $\models (B_1 \cup ... \cup B_m)$.
- **The empty sequent:** → means *a contradiction.*

Given non empty sequences: Γ , Δ , we denote by

$\sigma_{\mathsf{\Gamma}}$

any conjunction of all formulas of Γ , and by

δ_{Δ}

any disjunction of all formulas of Δ .

The intuitive semantics (meaning, interpretation) of a sequent $\Gamma \longrightarrow \Delta$ (where Γ, Δ are nonempty) is

$$\Gamma \longrightarrow \Delta \equiv (\sigma_{\Gamma} \Rightarrow \delta_{\Delta}).$$

Formal semantics for sequents (expressions of GL)

Let $v : VAR \longrightarrow \{T, F\}$ be a truth assignment, v^* its (classical semantics) extension to the set of formulas \mathcal{F} .

We extend v^* to the set

$$SEQ = \{ \Gamma \longrightarrow \Delta : \Gamma, \Delta \in \mathcal{F}^* \}$$

of all sequents as follows: for any sequent $\Gamma \longrightarrow \Delta \in SEQ$,

$$v^*(\Gamma \longrightarrow \Delta) = v^*(\sigma_{\Gamma}) \Rightarrow v^*(\delta_{\Delta}).$$

In the case when $\Gamma = \emptyset$ or $\Delta = \emptyset$ we define:

$$v^*(\longrightarrow \Delta) = (T \Rightarrow v^*(\delta_{\Delta})),$$

 $v^*(\Gamma \longrightarrow) = (v^*(\sigma_{\Gamma}) \Rightarrow F).$

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The sequent $\Gamma \longrightarrow \Delta$ is *satisfiable* if there is a truth assignment $v : VAR \longrightarrow \{T, F\}$ such that $v^*(\Gamma \longrightarrow \Delta) = T$.

Model for $\Gamma \longrightarrow \Delta$ is any v, such that

$$v^*(\Gamma \longrightarrow \Delta) = T.$$

We write it

$$v \models \Gamma \longrightarrow \Delta$$

Counter- model is any v such that

$$v^*(\Gamma \longrightarrow \Delta) = F.$$

We write it

$$v \not\models \Gamma \longrightarrow \Delta.$$

Tautology is any sequent $\Gamma \longrightarrow \Delta$, such that $v^*(\Gamma \longrightarrow \Delta) = T$ for all truth assignments $v: VAR \longrightarrow \{T, F\}$, i.e.

$$\models \Gamma \longrightarrow \Delta.$$

Example Let $\Gamma \longrightarrow \Delta$ be a sequent

$$a, (b \cap a) \longrightarrow \neg b, (b \Rightarrow a).$$

The truth assignment v for which v(a) = Tand v(b) = T is a model for $\Gamma \longrightarrow \Delta$, as shows the following computation.

 $v^*(a, (b \cap a) \longrightarrow \neg b, (b \Rightarrow a)) = v^*(\sigma_{\{a, (b \cap a)\}}) \Rightarrow$ $v^*(\delta_{\{\neg b, (b \Rightarrow a)\}}) = v(a) \cap (v(b) \cap v(a)) \Rightarrow$ $\neg v(b) \cup (v(b) \Rightarrow v(a)) = T \cap TcapT \Rightarrow \neg T \cup$ $(T \Rightarrow T) = T \Rightarrow (F \cup T) = T \Rightarrow T = T.$

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Observe that the only v for which $v^*(\Gamma) = v^*(a, (b \cap a) = T$ is the above v(a) = T and v(b) = T that is a model for $\Gamma \longrightarrow \Delta$.

It is impossible to find v which would falsify it, what proves that

$$\models a, (b \cap a) \longrightarrow \neg b, (b \Rightarrow a).$$

Definition of GL

Axioms of GL: Any sequent of variables (positive literals) which contains a propositional variable that appears on both sides of the sequent arrow \longrightarrow , i.e any sequent of the form

$$\Gamma'_1, a, \Gamma'_2 \longrightarrow \Delta'_1, a, \Delta'_2,$$

for any $a \in VAR$ and any sequences $\Gamma'_1, \Gamma'_2, \Delta'_1, \Delta'_2 \in VAR^*$.

Inference rules of GL

We denote by Γ' , Δ' finite sequences formed out of literals i.e. out of propositional variables or negations of propositional variables. Γ , Δ denote any finite sequences of formulas. **Conjunction rules**

$$(\cap \rightarrow) \frac{\Gamma', A, B, \Gamma \longrightarrow \Delta'}{\Gamma', (A \cap B), \Gamma \longrightarrow \Delta'},$$

$$(\rightarrow \cap) \xrightarrow{\Gamma \longrightarrow \Delta, A, \Delta' ; \Gamma \longrightarrow \Delta, B, \Delta'}_{\Gamma \longrightarrow \Delta, (A \cap B), \Delta'},$$

Disjunction rules

$$(\rightarrow \cup) \ \frac{\Gamma \longrightarrow \Delta, A, B, \Delta'}{\Gamma \longrightarrow \Delta, (A \cup B), \Delta'},$$

$$(\cup \to) \ \frac{\Gamma', A, \Gamma \longrightarrow \Delta' \ ; \ \Gamma', B, \Gamma \longrightarrow \Delta'}{\Gamma', (A \cup B), \Gamma \longrightarrow \Delta'},$$

Implication rules

$$(\rightarrow \Rightarrow) \frac{\Gamma', A, \Gamma \longrightarrow \Delta, B, \Delta'}{\Gamma', \Gamma \longrightarrow \Delta, (A \Rightarrow B), \Delta'},$$
$$(\Rightarrow \rightarrow) \frac{\Gamma', \Gamma \longrightarrow \Delta, A, \Delta'; \Gamma', B, \Gamma \longrightarrow \Delta, \Delta'}{\Gamma', (A \Rightarrow B), \Gamma \longrightarrow \Delta, \Delta'},$$

Negation rules

$$(\neg \rightarrow) \ \frac{\Gamma', \Gamma \longrightarrow \Delta, A, \Delta'}{\Gamma', \neg A, \Gamma \longrightarrow \Delta, \Delta'},$$

$$(\rightarrow \neg) \frac{\Gamma', A, \Gamma \longrightarrow \Delta, \Delta'}{\Gamma', \Gamma \longrightarrow \Delta, \neg A, \Delta'}.$$

We define:

 $\mathbf{GL} = (SEQ, AL, (\cup), (\neg \cup), (\cap), (\neg \cap), (\Rightarrow), (\neg \Rightarrow), (\neg \neg))$

Formal proof of a sequent $\Gamma \longrightarrow \Delta$ in the proof system **GL** we understand any sequence

 $\label{eq:Gamma-state-$

of sequents of formulas (elements of SEQ), such that $\Gamma_1 \longrightarrow \Delta_1 \in AL$, $\Gamma_n \longrightarrow \Delta_n =$ $\Gamma \longrightarrow \Delta$, and for all i $(1 < i \le n) \ \Gamma_i \longrightarrow$ $\Delta_i \in AL$, or $\Gamma_i \longrightarrow \Delta_i$ is a conclusion of one of the inference rules of **GL** with all its premisses placed in the sequence

 $\Gamma_1 \longrightarrow \Delta_1, \ \dots \Gamma_{i-1} \longrightarrow \Delta_{i-1}.$

We write, as usual,

 $\vdash_{GL} \Gamma \longrightarrow \Delta$ to denote that $\Gamma \longrightarrow \Delta$ has a formal proof in GL.

A formula $A \in \mathcal{F}$, has a proof in if the sequent $\longrightarrow A$ has a proof in **GL**, i.e. we define:

 $\vdash_{\mathbf{GL}} A \quad iff \longrightarrow A.$

A proof tree, or GL-proof of $\Gamma \longrightarrow \Delta$ is a tree

$T_{\Gamma \longrightarrow \Delta}$

of sequents satisfying the following conditions:

- 1. The topmost sequent, i.e the root of $T_{\Gamma \longrightarrow \Delta} \text{ is } \Gamma \longrightarrow \Delta,$
- 2. All leafs are axioms,
 - **3.** The nodes are sequents such that each sequent on the tree follows from the ones immediately preceding it by one of the rules.

- We write the proof- trees indicating additionally the name of the inference rule used at each step of the proof.
- **Remark** Proof search, i.e. **decomposition tree** for a given formula *A* and hence a **proof** of *A* in **GL** is not always unique!!

For example, a tree-proof (in GL) of the de Morgan law

$$(\neg(a \cap b) \Rightarrow (\neg a \cup \neg b))$$

is the following.

$$\longrightarrow (\neg(a \cap b) \Rightarrow (\neg a \cup \neg b)) \\ | (\longrightarrow \Rightarrow) \\ \neg(a \cap b) \longrightarrow (\neg a \cup \neg b) \\ | (\longrightarrow \cup) \\ \neg(a \cap b) \longrightarrow \neg a, \neg b \\ | (\longrightarrow \neg) \\ b, \neg(a \cap b) \longrightarrow \neg a \\ | (\longrightarrow \neg) \\ b, a, \neg(a \cap b) \longrightarrow \\ | (\neg \longrightarrow) \\ b, a \longrightarrow (a \cap b) \\ \bigwedge(\longrightarrow \cap)$$

 $b,a \longrightarrow a \qquad b,a \longrightarrow b$

Exercise 1 : Write all other proofs of $\neg(a \cap b) \Rightarrow (\neg a \cup \neg b)$ in **GL**.

Exercise 2: Verify that the axiom and the rules of inference of **GL** are sound, i.e. that the following theorem holds.

Soundness Theorem for GL: For any sequent $\Gamma \longrightarrow \Delta \in SEQ$,

if $\vdash_{\operatorname{GL}} \Gamma \longrightarrow \Delta$ then $\models \Gamma \longrightarrow \Delta$.

Completeness Theorem For any sequent $\Gamma \longrightarrow \Delta \in SEQ$,

$$\vdash_{\mathbf{GL}} \Gamma \longrightarrow \Delta \quad iff \quad \models \ \Gamma \longrightarrow \Delta.$$

The proof of the Completeness Theorem is similar to the proof for the **RS** system and is assigned as an exercise.