

# Characteristic Class

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# Characteristic Class

In topology, a geometric or topological being can be easily constructed locally, but when they are generalized to the global, topological obstructions will be encountered. These topological obstructions are usually represented as a cohomology class on the manifold, which are called *characteristic class*.

## Example

Suppose  $S$  is a closed surface without boundary, genus is not equal to one, then there exists no Euclidean metric, (a Riemannian metric, such that the Gaussian curvature is zero everywhere).

## Proof.

According to Gauss-Bonnet,

$$\int_S K dA = 2\pi\chi(S) = 2\pi(2 - 2g).$$



The characteristic class is the Euler class  $\chi(S)$ .

## Example

Suppose  $S$  is a closed surface without boundary, genus is not equal to one, then there exists no Euclidean atlas, (an atlas, such that all transition functions are rigid motions on the plane).

## Proof.

Let  $(u, v)$  is an arbitrary local chart, then construct a local metric  $g = du^2 + dv^2$ . Because  $S$  has a Euclidean atlas, then  $g$  is globally defined. Contradiction.  $\square$

## Example

Suppose  $S$  is a closed surface without boundary, genus is not equal to one, then there exists no non-vanishing smooth vector field.

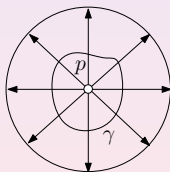
# Index of singularity

## Definition (Index)

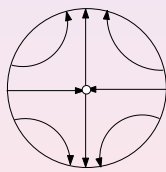
Suppose  $p$  is an isolated singularity of a vector field. We draw a small loop  $\gamma$  surrounding  $p$ , then the mapping  $\phi : \gamma \rightarrow \mathbb{S}^1$  is given by

$$\gamma(t) \rightarrow \frac{v \circ \gamma(t)}{|v \circ \gamma(t)|},$$

then  $\deg(\phi)$  is called the index of the singularity  $p$ .



+1



-1

Figure: Singularity Index

# Poincaré-Hopf Index Theorem

## Theorem (Poincaré-Hopf Index Theorem)

Suppose  $v$  is a smooth vector field on a surface  $S$  with isolated singularities. The total index

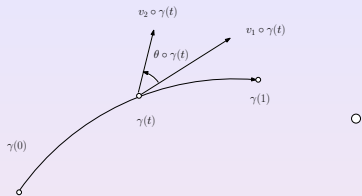
$$\sum_p \text{Ind}(p) = \chi(S).$$

## Proof.

- 1 Suppose  $v_1$  and  $v_2$  are two smooth vector fields, then  $\sum_{p \in v_1} \text{Ind}(p) = \sum_{p \in v_2} \text{Ind}(p)$ .
- 2 Construct a special vector field  $v$ , such that  $\sum_{p \in v} \text{Ind}(v) = \chi(S)$ .







## Proof.

Compute a triangulation, such that each triangle contains at most one singularity either in  $v_1$  or in  $v_2$ . Define a 2-form for  $v_k$ ,

$$\Omega_k([v_0, v_1, v_2]) = \text{Ind}(p), p \in [v_0, v_1, v_2],$$

where  $p$  is a singularity in  $v_k, k = 1, 2$ .

Let  $\gamma(t)$  be a curve segment. The angle  $\theta \circ \gamma(t)$  is the angle from  $v_1 \circ \gamma(t)$  to  $v_2 \circ \gamma(t)$ . □

## Proof.

Each edge is represented as a curve  $\gamma: [0, 1] \rightarrow \mathbb{R}$ . Define one form

$$\omega = \int_0^1 \frac{d\theta}{ds} ds.$$

Then

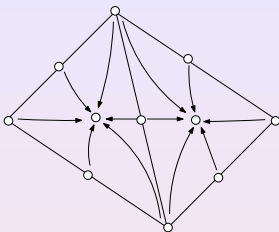
$$\Omega_2 - \Omega_1 = d\omega.$$

The total index is given by

$$\int_S (\Omega_2 - \Omega_1) = \int_S d\omega = \int_{\partial S} \omega = 0.$$



# Special Vector Field



Proof.

Construct a canonical vector field based on a triangulation. Each vertex is a singularity with index  $+1$ , each face is also a singularity with index  $+1$ , each edge is a singularity with index  $-1$ . The total index is  $\chi(S)$ . □

# Unit Tangent Bundle

Consider all the unit tangent vectors of a topological sphere  $\mathbb{S}^2$ . Use Stereo-graphic projection, we can parameterize the sphere without the north pole. Each point in the unit tangent bundle is represented as  $(z, dz)$ .

We do stereo-graphic projection from the south pole, to get another chart  $(w, dw)$ . The coordinate transition function is given by

$$w = \frac{1}{z}, dw = \frac{-1}{z^2} dz.$$

# Unit Tangent Bundle

The unit tangent bundle for each hemisphere is a direct product

$$\mathbb{D}^2 \times \mathbb{S}^1,$$

which is a solid torus.

We need to glue the two solid tori along their boundaries,  $f : T^2 \rightarrow T^2$ . Each fiber is glued with a fiber, but the two fibers differ by a rotation angle. Select a point on the equator  $p = e^{i\theta}$ , then  $dw \rightarrow e^{i(2\theta+\pi)} dz$ .

$$f(a) = a, f(b) = b - 2a.$$

# Unit Tangent Bundle

Suppose  $[\gamma] \in H_1(T_1, \mathbb{Z})$  is the  $b$  generator,  $[f(\gamma)] \in H_1(T_2, \mathbb{Z})$  is  $b - 2a$ .

A smooth vector field without singularity is a smooth surface  $S$  in the UTM, such that  $S$  intersect each fiber at one point. Such a surface is called a global section.

Intuitively, in the solid torus  $\gamma$  can shrink to a point,  $f(\gamma)$  is not homologous to 0, so it can not bound a surface. The global section doesn't exist.

# Topological obstruction

- 1 Compute a triangulation of the initial surface  $\Sigma$ , such that each triangle is small enough, the restriction of the unit tangent bundle on the triangle is trivial (direct project).
- 2 For each vertex  $v$ , choose a point  $s(v)$  in its fiber  $p^{-1}(b)$ .
- 3 For each edge  $[v_i, v_j]$ , in the trivial neighborhood,  $[v_i, v_j] \times \mathbb{S}^1$  interpolate  $s(v_i)$  and  $s(v_j)$ .
- 4 For each face  $f := [v_i, v_j, v_k]$ , in the trivial neighborhood,  $[v_i, v_j, v_k] \times \mathbb{S}^1$ , compute the degree of the map

$$\phi_f : s(\partial[v_i, v_j, v_k]) \rightarrow \mathbb{S}^1,$$

if degree is zero, then the section can be extended to the interior of the face, otherwise, we encounter an obstruction.

- 5 The two form

$$\Omega(f) = \text{deg}(\phi_f),$$

is the topological obstruction class.

## Lemma (Brouwer Fixed Point)

*Suppose  $f : \mathbb{D} \rightarrow \mathbb{D}$  is a continuous map, which maps the boundary of the disk to the boundary of the disk, then there exists a fixed point.*

## Proof.

Assume there is no fixed point, then draw a ray starting from  $f(p)$  through  $p$  and intersects the boundary at  $p$ , this gives a continuous map  $\phi : p \rightarrow q$ , which maps the whole disk to its boundary, the restriction of  $\phi$  on the boundary is the identity. Contradiction. □



# Fixed Point

Suppose  $f : S \rightarrow S$  is a continuous map homotopic to the identity, which maps  $S$  to itself. We can use a simplicial map to approximate it. Therefore, we assume  $S$  is a simplicial complex,  $f$  is a simplicial map. Furthermore, we can assume  $f$  has isolated fixed points.  $f_k : C_k \rightarrow C_k$  is a linear map, and can be represented as matrices.

According to Brouwer's fixed point lemma, if  $f_0(v_i) = v_i$  then  $v_i$  is a fixed point; if  $f_1([v_1, v_2]) = [v_1, v_2]$ , then there exists a fixed point in the edge  $[v_1, v_2]$ ; if  $f_2([v_1, v_2, v_3]) = [v_1, v_2, v_3]$ , then there exists a fixed point inside the face. But fixed points are over counted. Therefore, the total number of fixed points is given by

$$tr(f_0) - tr(f_1) + tr(f_2).$$

Because  $f$  is homotopic to identity, we can use identity for the computation, therefore the above is

$$dim(C_0) - dim(C_1) + dim(C_2) = \chi(S).$$

Consider the sequence

$$C_2 \longrightarrow C_1 \longrightarrow C_0 \longrightarrow 0$$

therefore

$$\dim(C_k) = \dim(\ker \partial_k) + \dim(\operatorname{img} \partial_k)$$

So the dimension satisfies the following:

$$\begin{aligned}\chi(S) &= \ker \partial_2 + \operatorname{img} \partial_2 - \ker \partial_1 - \operatorname{img} \partial_1 + \ker \partial_0 + 0 \\ &= \ker \partial_2 - (\ker \partial_1 - \operatorname{img} \partial_2) + (\ker \partial_0 - \operatorname{img} \partial_1) \\ &= H_2(S, \mathbb{Z}) - H_1(S, \mathbb{Z}) + H_0(S, \mathbb{Z})\end{aligned}$$

All the tangent vectors form the tangent bundle  $TM$  of the surface. Each point is represented as  $(p, v(p))$ , where  $v(p) \in TM_p$ . The 0 section is  $(p, 0)$ ,  $(p, \varepsilon v(p))$  is a perturbation of the 0-section. All the vector fields has  $\chi(S)$  zero points. Therefore, the 0-section has algebraic  $\chi(S)$  self-intersections in the tangent bundle.

Let  $S$  be a surface, then the neighborhood of the diagonal  $(p, p)$  is homeomorphic to the tangent bundle  $TM$ . The diagonal  $(p, p)$  corresponds to the 0-section. The self-intersection number of the diagonal is the Euler number.

Note that, given a triangle mesh  $M$ , then the direct product  $M \times M$  can be easily constructed, the boundary operator, the homology, cohomology can be easily computed.

Given two knots embedded in  $\mathbb{R}^3$ , verify if one can deform to the other in  $\mathbb{R}^3$ .

Given two surfaces embedded in  $\mathbb{R}^3$ , verify if one can deform to the other in  $\mathbb{R}^3$  without self-intersection.

$f_0 : S \hookrightarrow \mathbb{R}^3$ , then consider the following mapping  
 $(f_0, f_0) : S \times S \hookrightarrow \mathbb{R}^3 \times \mathbb{R}^3$ , we use  $F_0$  to denote  $(f_0, f_0)$ , then the preimage of the diagonal is the diagonal. We use  $\Delta_S$  to denote the diagonal of  $S \times S$ ,  $\Delta_{\mathbb{R}^3}$  the diagonal of  $\mathbb{R}^3 \times \mathbb{R}^3$ . Then

$$F_k : S \times S - \Delta_S \hookrightarrow \mathbb{R}^3 \times \mathbb{R}^3 - \Delta_{\mathbb{R}^3},$$

Suppose  $[M]$  is the generator of  $H^2(\mathbb{R}^3 \times \mathbb{R}^3 - \Delta_{\mathbb{R}^3}, \mathbb{R})$ , if  $f_0$  and  $f_1$  are isotopic, then

$$F_0^*[M] = F_1^*[M].$$

This is called the characteristic class of isotopy.